

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification 6 :</b> <b>C12N 5/00</b>		<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 96/28539</b> <b>(43) International Publication Date:</b> 19 September 1996 (19.09.96)		
<b>(21) International Application Number:</b> PCT/US96/03438		<b>(81) Designated States:</b> AL, AM, AU, BB, BG, BR, BY, CA, CN, CZ, EE, FI, GE, HU, IS, JP, KG, KP, KR, LK, LR, LT, LV, MD, MG, MK, MN, MX, NO, NZ, PL, RO, SG, SI, SK, TR, TT, UA, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).			
<b>(22) International Filing Date:</b> 13 March 1996 (13.03.96)					
<b>(30) Priority Data:</b> 08/403,640 14 March 1995 (14.03.95) US					
<b>(71) Applicants:</b> MORPHOGEN PHARMACEUTICALS, INC. [US/US]; 245 East 54th Street, New York, NY 10022 (US). NORTH SHORE UNIVERSITY HOSPITAL [US/US]; 350 Community Drive, Manhasset, NY 11030 (US).		<b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>			
<b>(72) Inventors:</b> GRANDE, Daniel, A.; 16 Woodridge Lane, Sea Cliff, NY 11579 (US). LUCAS, Paul, A.; 1528 Tudor Court, Macon, GA 31210 (US).					
<b>(74) Agents:</b> FEHLNER, Paul, F. et al.; Klauber & Jackson, 411 Hackensack Avenue, Hackensack, NJ 07601 (US).					
<b>(54) Title:</b> MESENCHYMAL STEM CELLS FOR CARTILAGE REPAIR					
<b>(57) Abstract</b>  It has been discovered that mesenchymal stem cells (MSCs) in a polymeric carrier implanted into a cartilage and/or bone defect will differentiate to form cartilage and/or bone, as appropriate. Suitable polymeric carriers include porous meshes or sponges formed of synthetic or natural polymers, as well as polymer solutions. A presently preferred material is a polyglycolic acid mesh.					

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	MU	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

## MESENCHYMAL STEM CELLS FOR CARTILAGE REPAIR

## Background of the Invention

5        The present invention is generally in the area of regeneration and repair of cartilage, and more particularly relates to implantation of mesenchymal stem cells on matrices to form cartilage.

10      Arthritis, both rheumatoid and osteoarthritis, constitutes a major medical problem. In particular, degeneration of articular cartilage in osteoarthritis is a serious medical problem. Drugs are given to control the pain and to keep the swelling down, but the cartilage continues to be destroyed.

15      Eventually, the joint must be replaced. As reviewed by Mankin, N.E. J. Med. 331(14), 940-941 (October 1994), it is still unknown why cartilage does not heal and no solutions to this problem are known.

20      Whether articular cartilage is damaged from trauma or congenital anomalies, its successful clinical regeneration is poor at best, as reviewed by Howell, et al. Osteoarthritis: Diagnosis and Management, 2nd ed., (Philadelphia, W. B. Saunders, 1990) and Kelley, et al. Textbook of Rheumatology, 3rd ed., (Philadelphia, W.B. Saunders, 1989) 1480. The inability of adult articular cartilage for self repair has been well recognized and has stimulated major interest. There are two major mechanisms of articular cartilage repair: intrinsic and extrinsic, discussed by Edwards Proc. Inst. Mech. Eng. 181, 1, 1967, and Sokoloff J. Rheumatol. 1, 1, 1974.

25      30

35      Superficial or partial-thickness injuries that do not penetrate the subchondral bone rely on the

intrinsic mechanism for repair. Soon after superficial injury, chondrocytes adjacent to the injured surfaces show a brief burst of mitotic activity associated with an increase in glycosaminoglycan and collagen synthesis. Despite these attempts at repair, there is no appreciable increase in the bulk of cartilage matrix and the repair process is rarely effective in healing the defects.

Osteochondral, or full-thickness, cartilage defects extend into the subchondral bone. Such defects arise after the detachment of osteochondritic dissecting flaps, fractured osteochondral fragments, or from chronic wear of degenerative articular cartilage. Osteochondral defects depend on the extrinsic mechanism for repair. Extrinsic healing relies on mesenchymal elements from subchondral bone to participate in the formation of new connective tissue. This fibrous tissue may or may not undergo metaplastic changes to form fibrocartilage. Even if fibrocartilage is formed, it does not display the same biochemical composition or mechanical properties of normal articular cartilage or subchondral bone and degenerates with use, Furukawa, et al., J. Bone Joint Surg. 62A, 79 (1980); Coletti, et al., J. Bone Joint Surg. 54A, 147 (1972); Buckwalter, et al., "Articular cartilage: composition, structure, response to injury and methods of facilitating repair", in Articular Cartilage and Knee Joint Function: Basic Science and Arthroscopy, Ewing JE, Ed., (New York, Raven Press, 1990), 19. The ensuing osteoarthritis may result in permanent disability and discomfort to the patient.

As described in U.S. Patent No. 5,041,138 to Vacanti, et al., and U.S. Patent No. 4,846,835 to Grande, cartilage has been grown by seeding synthetic polymeric matrices with dissociated cells, which are then implanted to form new cartilage. Cartilage has also been grown from an injected or implanted ionically crosslinked hydrogel-chondrocyte suspension, as described by Atala, et al., J. Urology vol. 150, no. 2, part 2, 745-747 (August 1993). Injection of dissociated chondrocytes directly into a defect has also recently been described as a means for forming new cartilage, as reported by Brittberg, et al., N. E. J. Med. 331,889-895 (October 1994). Cartilage was harvested from minor load-bearing regions on the upper medial femoral condyle of the damaged knee, cultured, and implanted two to three weeks after harvesting.

Freed and Grande, J. Biomed. Mater. Res. 28, 891 (1994) cultured mature chondrocytes from New Zealand white rabbits *in vitro* onto polyglycolic acid (PGA) scaffolds for 2 1/2 weeks. A full thickness articular cartilage defect was then created in the femoropatellar groove bilaterally in syngeneic New Zealand white rabbits. Mature chondrocytes on the PGA-matrix (PGA-cells) were imbedded into one knee joint while PGA discs alone were imbedded into the contralateral knee and the animals euthanized at one and six months post-implantation. The repair tissue was well bonded to the host tissue and the surfaces of these defects were congruent with the host cartilage. The PGA alone showed a mixture of fibrocartilage and hyaline cartilage oriented randomly. The PGA-cells implant showed normal articular cartilage histology, but did not have normal subchondral bone.

5           A disadvantage of these systems is that the chondrocytes must be obtained from the patient, typically by a biopsy, cultured, and then implanted on the matrix. This is relatively easy in laboratory animals, but presents greater logistical problems in humans where a defect is created by the biopsy required to provide cells for repair of another defect. Moreover, if the defect includes a part of the underlying bone, this is not corrected using 10 chondrocytes, which are already differentiated and will not form new bone. The bone is required to support the new cartilage.

15           Stem cells are defined as cells which are not terminally differentiated, which can divide without limit, and divides to yield cells that are either stem cells or which irreversibly differentiate to yield a new type of cell. Those stem cells which give rise to a single type of cell are call unipotent cells; those which give rise to many cell types are called 20 pluripotent cells. Chondro/osteoprogenitor cells, which are bipotent with the ability to differentiate into cartilage or bone, were isolated from bone marrow (for example, as described by Owen, J. Cell Sci. Suppl. 10, 63-76 (1988) and in U.S. Patent No. 25 5,226,914 to Caplan, et al. . These cells led Owen to postulate the existence of pluripotent mesenchymal stem cells, which were subsequently isolated from muscle (Pate, et al., Proc. 49th Ann. Sess. Forum Fundamental Surg. Problems 587-589 (October 10-15, 1993)), heart (Dalton, et al., J. Cell Biol. 111, R202 30 (March 1993)), and granulation tissue (Lucas, et al., J. Cell Biochem. 122, R212 (March 1993)). Pluripotency is demonstrated using a non-specific

inducer, dexamethasone (DMSC), which elicits differentiation of the stem cells into chondrocytes (cartilage), osteoblasts (bone), myotubes (muscle), adipocytes (fat), and connective tissue cells.

response *in vivo* or cartilage formation *in vitro* when mixed with muscle cells.

It is therefore an object of the present invention to provide a method and compositions for formation of cartilage.

It is a further object of the present invention to provide for differentiation of mesenchymal stem cells into cartilage.

10

#### Summary of the Invention

15

It has been discovered that mesenchymal stem cells (MSCs) in a polymeric carrier implanted into a cartilage and/or bone defect will differentiate to form cartilage and/or bone, as appropriate. As can be readily appreciated, an advantage of the invention is that such implants surprisingly do not require an exogenous chondrogenic differentiating factor.

20

Suitable polymeric carriers include porous meshes or sponges formed of synthetic or natural polymers, as well as polymer solutions. A presently preferred material is a polyglycolic acid mesh.

25

30

As demonstrated by the examples, MSCs were isolated from adult rabbit muscle and cultured *in vitro* in porous polyglycolic acid polymer matrices. The matrices were implanted into three mm diameter full thickness defects in rabbit knees with empty polymer matrices serving as the contralateral controls. The implants were harvested six and 12 weeks post-op. At six weeks, the controls contained fibrocartilage while the experimentals contained undifferentiated cells. By 12 weeks post-op, the controls contained limited fibrocartilage and extensive connective tissue, but no subchondral bone.

In contrast, the implants containing MSCs had a surface layer of cartilage approximately the same thickness as normal articular cartilage and normal-  
5 appearing subchondral bone. There was good integration of the implant with the surrounding tissue. Implantation of MSCs into cartilage defects effected repair of both the articular cartilage and subchondral bone.

10

#### Detailed Description of the Invention

##### I. Isolation and preparation of MSCs.

15 Mesenchymal stem cells (MSCs) are isolated from connective tissue, including muscle and dermis. They have advantages based on their unlimited growth potential and their ability to differentiate into several phenotypes of the mesodermal lineage, including cartilage and bone.

20 MSCs are preferably isolated from muscle using a standard punch or dermal biopsy. However, MSCs can be obtained from bone marrow or other mesenchymal tissues.

25 A detailed procedure for isolation of MSCs from embryonic chick muscle is described by Young, et al., J. Tiss. Cult. Meth. 14, 85-92 (1992), the teachings of which are incorporated by reference herein. The same basic procedure is used for isolation of mammalian MSCs from muscle. Muscle is removed, rinsed, minced and the cells isolated by digestion with collagenase/dispase and cultured in gelatin-  
30 coated dishes in EMEM or DMEM media with pre-screened horse serum (serum is pre-screened for support of MSCs but not fibroblasts until confluent). The cells are

trypsinized and slowly frozen in freezing chambers 7.5% DMSO at -80°C. The cells are then thawed and cultured in the same media without DMSO. Freezing is used to kill any fibroblasts present in the cell culture. Filtration through 20 micron Nitex is used to remove myotubes. Reagents can be obtained from Sigma Chemical Co., St. Louis, MO or GIBCO, Grand Island, NY.

## II. Polymeric Matrices.

There are basically two types of matrices that can be used to support the MSCs as they differentiate into cartilage or bone. One form of matrix is a polymeric mesh or sponge; the other is a polymeric hydrogel. In the preferred embodiment, the matrix is biodegradable over a time period of less than a year, more preferably less than six months, most preferably over two to ten weeks. In the case of joint surface application, the degradation period is typically about twelve to twenty-four weeks. In the case where weight bearing or high shear stress is not an issue, the degradation period is typically about five to ten weeks. The term bioerodible or biodegradable, as used herein, means a polymer that dissolves or degrades within a period that is acceptable in the desired application, less than about six months and most preferably less than about twelve weeks, once exposed to a physiological solution of pH 6-8 having a temperature of between about 25°C and 38°C. The polymer composition, as well as method of manufacture, can be used to determine the rate of degradation. For example, mixing increasing amounts of polylactic acid with polyglycolic acid decreases the degradation time.

#### A. Fibrous matrices.

## Polymeric Materials.

5 Fibrous matrices can be manufactured or constructed using commercially available materials. The matrices are typically formed of a natural or a synthetic polymer. Biodegradable polymers are preferred, so that the newly formed cartilage can maintain itself and function normally under the load-bearing present at synovial joints. Polymers that degrade within one to twenty-four weeks are preferable. Synthetic polymers are preferred because their degradation rate can be more accurately determined and they have more lot to lot consistency and less immunogenicity than natural polymers.

10 Natural polymers that can be used include proteins such as collagen, albumin, and fibrin; and polysaccharides such as alginate and polymers of hyaluronic acid. Synthetic polymers include both biodegradable and non-biodegradable polymers.

15 Examples of biodegradable polymers include polymers of hydroxy acids such as polylactic acid (PLA), polyglycolic acid (PGA), and polylactic acid-glycolic acid (PLGA), polycrthnoesters, polyanhydrides, polyphosphazenes, and combinations thereof. Non-biodegradable polymers include polyacrylates, polymethacrylates, ethylene vinyl acetate, and polyvinyl alcohols. These should be avoided since their presence in the cartilage will inevitably lead to mechanical damage and breakdown of the cartilage.

20

25

### Matrix Construction

In the preferred embodiment, the polymers form fibers which are intertwined, woven, or nested to form a matrix having an interstitial spacing of between 10

and 300 microns. Meshes of polyglycolic acid that can be used can be obtained from surgical supply companies such as Ethicon, NJ. Sponges can also be used. As used herein, the term "fibrous" refers to either a intertwined, woven or meshed matrix or a sponge matrix.

5 The matrix is preferably shaped to fill the defect. In most cases this can be achieved by trimming the polymer fibers with scissors or a knife; alternatively, the matrix can be cast from a polymer solution formed by heating or dissolution in a volatile solvent.

#### Application of the Cells

10 The MSCs are seeded onto the matrix by application of a cell suspension to the matrix. This can be accomplished by soaking the matrix in a cell culture container, or injection or other direct application of the cells to the matrix. Media should be washed from the cells and matrix prior to 15 implantation.

20 The matrix seeded with cells is implanted at the site of the defect using standard surgical techniques. The matrix can be seeded and cultured in vitro prior to implantation, seeded and immediately implanted, or 25 implanted and then seeded with cells. In the preferred embodiment, cells are seeded onto and into the matrix and cultured in vitro for between approximately sixteen hours and two weeks. It is only critical that the cells be attached to the matrix. Two weeks is a preferred time for culture of the 30 cells, although it can be longer. Cell density at the time of seeding or implantation should be approximately 25,000 cells/mm<sup>2</sup>.

**B. Hydrogel matrices.**

Hydrogel matrices are described, for example, in PCT US94/04710 by Massachusetts Institute of Technology and Childrens Medical Center Corporation, the teachings of which are incorporated herein.

5 Polymers that can form ionic or covalently crosslinked hydrogels which are malleable are used to encapsulate cells. For example, a hydrogel is produced by cross-linking the anionic salt of polymer such as alginic acid, a carbohydrate polymer isolated from seaweed, with calcium cations, whose strength increases with either increasing concentrations of calcium ions or alginate. The alginate solution is mixed with the cells to be implanted to form an 10 alginate suspension. Then the suspension is injected directly into a patient prior to hardening of the suspension. The suspension then hardens over a short period of time due to the presence *in vivo* of 15 physiological concentrations of calcium ions.

20 The polymeric material which is mixed with cells for implantation into the body should form a hydrogel. A hydrogel is defined as a substance formed when an organic polymer (natural or synthetic) is cross-linked via covalent, ionic, or hydrogen bonds to create a 25 three-dimensional open-lattice structure which entraps water molecules to form a gel. Examples of materials which can be used to form a hydrogel include polysaccharides such as alginate, polyphosphazines, and polyacrylates, which are crosslinked ionically, or block copolymers such as Fluronics™ or Tetronics™, 30 polyethylene oxide-polypropylene glycol block copolymers which are crosslinked by temperature or pH, respectively. Other materials include proteins such

as fibrin, polymers such as polyvinylpyrrolidone, hyaluronic acid and collagen.

In general, these polymers are at least partially soluble in aqueous solutions, such as water, buffered salt solutions, or aqueous alcohol solutions, that have charged side groups, or a noncovalent ionic salt thereof. Examples of polymers with acidic side groups that can be reacted with cations are poly(phosphazenes), poly(acrylic acids), poly(methacrylic acids), copolymers of acrylic acid and methacrylic acid, poly(vinyl acetate), and sulfonated polymers, such as sulfonated polystyrene. Copolymers having acidic side groups formed by reaction of acrylic or methacrylic acid and vinyl ether monomers or polymers can also be used. Examples of acidic groups are carboxylic acid groups, sulfonic acid groups, halogenated (preferably fluorinated) alcohol groups, phenolic OH groups, and acidic OH groups.

Examples of polymers with basic side groups that can be reacted with anions are poly(vinyl amines), poly(vinyl pyridine), poly(vinyl imidazole), and some imino substituted polyphosphazenes. The ammonium or quaternary salt of the polymers can also be formed from the backbone nitrogens or pendant imino groups. Examples of basic side groups are amino and imino groups.

Alginic acid can be ionically cross-linked with divalent cations, in water, at room temperature, to form a hydrogel matrix. Due to these mild conditions, alginic acid has been the most commonly used polymer for hybridoma cell encapsulation, as described, for example, in U.S. Patent No. 4,792,883 to Lim. In the

Lim process, an aqueous solution containing the biological materials to be encapsulated is suspended in a solution of a water soluble polymer, the suspension is formed into droplets which are configured into discrete micro-capsules by contact with multivalent cations, then the surface of the microcapsules is crosslinked with polyamine acids to form a semipermeable membrane around the encapsulated materials.

10 Polyphosphazenes are polymers with backbones consisting of nitrogen and phosphorous separated by alternating single and double bonds. The polyphosphazenes suitable for cross-linking have a majority of side chain groups which are acidic and capable of forming salt bridges with di- or trivalent cations. Examples of preferred acidic side groups are carboxylic acid groups and sulfonic acid groups. 15 Polymers can be synthesized that degrade by hydrolysis by incorporating monomers having imidazole, amino acid ester, or glycerol side groups. For example, a 20 polyanionic poly[bis(carboxylatephenoxy)]phosphazene (PCPP) can be synthesized, which is cross-linked with dissolved multivalent cations in aqueous media at room temperature or below to form hydrogel matrices.

25 The water soluble polymer with charged side groups is ionically crosslinked by reacting the polymer with an aqueous solution containing multivalent ions of the opposite charge, either multivalent cations if the polymer has acidic side groups or multivalent anions if the polymer has basic side groups. The preferred cations for cross-linking of the polymer with acidic side groups to form a hydrogel are divalent and trivalent cations such as 30

copper, calcium, aluminum, magnesium, strontium, barium, zinc, and tin, although di-, tri- or tetra-functional organic cations such as alkylammonium salts, e.g.,  $R_2N^+ - \backslash / \backslash / \backslash / - NR_2$  can also be used.

5 Aqueous solutions of the salts of these cations are added to the polymers to form soft, highly swollen hydrogels and membranes. The higher the concentration of cation, or the higher the valence, the greater the degree of cross-linking of the polymer.

10 Concentrations from as low as 0.005 M have been demonstrated to cross-link the polymer. Higher concentrations are limited by the solubility of the salt.

15 The preferred anions for cross-linking of the polymers to form a hydrogel are divalent and trivalent anions such as low molecular weight dicarboxylic acids, for example, terephthalic acid, sulfate ions and carbonate ions. Aqueous solutions of the salts of these anions are added to the polymers to form soft, highly swollen hydrogels and membranes, as described with respect to cations.

#### Cell Suspensions

20 Preferably the polymer is dissolved in an aqueous solution, preferably a 0.1 M potassium phosphate solution, at physiological pH, to a concentration forming a polymeric hydrogel, for example, for alginate, of between 0.5 to 2% by weight, preferably 1%, alginate. The isolated cells are suspended in the polymer solution to a concentration of between 1 and 30 million cells/ml, most preferably between 10 and 20 million cells/ml.

Methods of Implantation.

In the preferred embodiment, the cells are mixed with the hydrogel solution and injected directly into a site where it is desired to implant the cells, prior to hardening of the hydrogel. However, the matrix may also be molded and implanted in one or more different areas of the body to suit a particular application. This application is particularly relevant where a specific structural design is desired or where the area into which the cells are to be implanted lacks specific structure or support to facilitate growth and proliferation of the cells.

The site, or sites, where cells are to be implanted is determined based on individual need, as is the requisite number of cells. One could also apply an external mold to shape the injected solution. Additionally, by controlling the rate of polymerization, it is possible to mold the cell-hydrogel injected implant like one would mold clay.

Alternatively, the mixture can be injected into a mold, the hydrogel allowed to harden, then the material implanted.

The suspension can be injected via a syringe and needle directly into a specific area wherever a bulking agent is desired, especially soft tissue defects. The suspension can also be injected as a bulking agent for hard tissue defects, such as bone or cartilage defects, either congenital or acquired disease states, or secondary to trauma, burns, or the like. An example of this would be an injection into the area surrounding the skull where a bony deformity exists secondary to trauma. The injection in these instances can be made directly into the needed area with the use of a needle and syringe under local or general anesthesia.

The suspension can be injected percutaneously by direct palpation. Alternatively, the suspension can be injected through a catheter or needle with fluoroscopic, sonographic, computer tomography, magnetic resonance imaging or other type of radiologic guidance.

### III. Conditions to be treated.

The mesenchymal stem cells in and/or on a polymeric carrier can be used to create or supplement connective tissue as required. In some cases, this will be to repair existing defects, for example, worn or torn cartilage in joint linings. In other cases, it may be to create new tissue that performs a distinct function, such as to block tubes such as the fallopian tubes or vas deferens, or to decrease reflux due to urine leakage arising from incorrect placement of the ureter into the bladder. The selection of the form of the matrix, as well as the composition, will in many cases be determined by the function to be achieved, as discussed above.

Examples of situations in which new connective tissue is particularly desirable, in addition to cartilage replacement or supplementation, include reconstruction of the spine, pubic symphysis or temporomandibular joint (TMJ).

5 In some cases, it may be desirable to induce a mixed cell tissue, for example, in breast reconstruction. Breast tissue is naturally composed of fat, cartilage and other connective tissue, muscle and other tissues. New breast tissue can be formed by 10 implanting mesenchymal cells in a polymeric carrier in a fascial plane formed of muscle cells, fat, fibroblasts, and cartilage.

15 The present invention will be further understood by reference to the following non-limiting examples.

**Example 1: Implantation of MSCs on PGA scaffolds and implantation into full thickness articular cartilage defects in rabbits.**

20 This experiment was conducted to determine the regenerative capabilities of MSCs cultured on the PGA scaffolds and placed into syngeneic rabbit full thickness articular cartilage defects.

**MATERIALS AND METHODS:**

25 New Zealand white rabbits were purchased from Hazelton (Denver, PA). Polyglycolic acid (PGA) discs, non-woven fiber mats, 1 cm diameter x 0.2 cm thick, composed of 12-14  $\mu$ m diameter fibers at a density of 55-65 mg/cm<sup>2</sup> and sterilized with ethylene oxide were obtained from Albany International, Mansfield, MA.

30 Rabbit MSCs were isolated as described by Rate et al Surgical Forum 44, 587 (1993). Briefly, adult rabbit leg skeletal muscle was harvested under sterile conditions and placed in minimal essential medium with Earle's salts (EMEM) supplemented with 3 x antibiotic

5 antimycotic solution for at least 10 minutes. The muscle was then finely minced with scissors. The media and tissue were centrifuged at 150 x g for 10 minutes, the supernatant was discarded, and the tissue was transferred to a sterile bottle containing a magnetic stir bar. The tissue was then digested with a collagenase/dispase solution consisting of 250 U/ml 10 Worthington CLSI collagenase, Freehold, NJ, and 33 U/ml Collaborative Research dispase, Cambridge, MA, in the ratio of 1:4:15 (v/v/v) of tissue:collagenase/dispase:EMEM. Digestion required approximately 45 minutes. The digested tissue was then centrifuged at 300 x g for 20 minutes, the supernatant discarded, and the cell pellet resuspended 15 in EMEM + 10% horse serum (Sigma, lot #90H-701 Sigma, St. Louis, MO) with penicillin-streptomycin antibiotic (Gibco, Long Island, NY). The suspension was then filtered a through 20- $\mu$ m NITEX filter and an aliquot of the cells counted on a hemocytometer. The cells 20 were plated at 105 per 100 mm gelatin-coated culture dish (Falcon, Norcross, GA). These cultures were termed "primary culture".

25 The cells were maintained for 7-10 days with media changes every 3 days until the cell layer was confluent. The cells were then detached from the dish with 0.025% trypsin in a solution of 3:1 Dulbecco's phosphate-buffered saline (DPBS) without Ca<sup>2+</sup>, Mg<sup>2+</sup>, and DPBS-EDTA. The trypsin was neutralized with horse serum and the suspension was centrifuged at 150 x g 30 for 20 minutes. The supernatant was discarded, the cell pellet resuspended in EMEM + 10% horse serum and the cells filtered through a 2 $\mu$ m nitex filter. The cells were counted on a hemocytometer, the

concentration adjusted to  $2 \times 10^6$  cells/ml, and 0.5 ml of cell suspension placed in a cryovial to which was added 0.5 ml of 15% dimethylsulfoxide (DMSO) in media (final concentration of 7.5% DMSO). The cells were 5 then placed in freezing chamber (Fisher) and slowly frozen to -80°C. After at least 16 hours, the cells were thawed and plated at 100,000 cells per 100 mm gelatin-coated culture dish and grown to confluence. This is termed "secondary culture" and consists of 10 mesenchymal stem cells.

The cells were released from the dishes with trypsin treatment and cultured on polymer scaffolds in 35 mm tissue-culture treated polystyrene dishes. Each 15 disk was initially seeded with  $4 \times 10^6$  cells in a volume of 100  $\mu$ l. Samples were incubated at 37°C in a humidified atmosphere containing 5% CO<sub>2</sub> to permit cell adhesion to, and entrapment within, the polymer scaffold; 2.5 ml of culture medium were then carefully added after 6 hr, and 1.5 ml after 24 more hr. Medium 20 was replaced every 2-3 days for 2 1/2 weeks of tissue culture.

The full thickness cartilage defect was made according to the procedure described by Freed and Grande, J. Biomed. Mater. Res. 28, 891 (1994). New Zealand white rabbits were used according to N.I.H. 25 guidelines for the care of laboratory animals (N.I.H. publication #85-23 Rev. 1985). The rabbits, 8 month old males weighing approximately 4.5 kg, were placed under general anesthesia with xylazine (5 mg/kg i.m.), and ketamine (35 mg/kg i.m.), then shaved and scrubbed with betadine. A medial parapatellar arthrotomy was 30 performed bilaterally with the rabbit supine. A pointed 3 mm diameter custom drill bit (Acufex,

Mansfield, MA) was used to create a full thickness defect (1-2 mm deep) in the femoropatellar groove (FPG). An attempt was made to extend this defect just through the subchondral plate without violating the subchondral bone. A surgical trephine (Biomedical Research Instruments, Wakerville, MD) was used to core a 4 mm diameter x 2 mm thick piece of PGA matrix, and this was press-fit into the 3 mm diameter defect in the rabbit's FPG. The incision was closed in two layers; the fascia was closed with interrupted 4.0 VICRYL® (absorbable) and the skin was closed with the same interrupted 4.0 VICRYL®.

The knee joints were not immobilized postoperatively, and the animals were allowed free cage activity. Rabbits were euthanized after 6 and 12 weeks using an overdose of pentobarbital. The protocol for euthanasia was 1 cc of Ketamine intramuscularly (i.m.), wait fifteen minutes, then 5 cc of SLEEP-AWAY® i.m. (Fort Dodge Lab, Fort Dodge, IA).

Four adult male rabbits had PGA-stem cell implants into their right knee while the left knee served as a control with only the PGA matrix being implanted. Two rabbits were euthanized at six weeks and other two rabbits euthanized at twelve weeks.

Joint repair was assessed histologically as follows. Knee joints were harvested, fixed in formalin, and decalcified in 5% nitric acid for 5-7 days, with daily changes of the nitric acid, and bisected in a coronal plane through the center of the defect. Samples were then processed for embedding in paraffin, sectioned (6  $\mu$ m thick), and stained using hematoxylin & eosin or Safranin-, then viewed at 10

to 200x magnification by light and phase contrast microscopy.

RESULTS:

5 Mesenchymal stem cells were isolated from rabbit muscle and grown to confluence in culture. These cells have the characteristic mononuclear, stellate shape associated with MSCs isolated previously from chick and rat skeletal muscle. When the MSCs were cultured in the PGA matrix, the cells adhered to the 10 matrix but did not differentiate. There was no apparent cartilage matrix.

15 The defects containing PGA polymer alone (control) at six weeks show a small amount of matrix from the dissolving PGA disc among the abundant fibrous elements. There was a definite boundary between the implant and the host cartilage with no presence of articular cartilage in the implant. There 20 were non-specific fibrocartilage cells and abundant collagenous matrix in the control at 6 weeks. The PGA-MSC matrices at six weeks resembled the controls. There were relatively undifferentiated cells and nests of apparent cartilage. Remnants of the PGA matrix 25 were also present.

Normal articular cartilage was present adjacent to the defect. The cells could be seen in lacunae. The proliferative zone with isogenous nests was clearly visible, as is the tidemark. At twelve weeks, the controls had a patchy mixture of fibrous and hyaline cartilage and extensive connective tissue. There was no evidence of new subchondral bone. The 30 surface layer of cells appeared fibroblastic, lacking the round lacunae characteristic of hyaline cartilage, and were oriented perpendicular to the surface.

In contrast, the PGA-MSC matrices at 12 weeks showed a surface layer of cartilage approximately the same thickness as the host cartilage and normal appearing subchondral bone. The surface layer of cartilage contained chondrocytes within lacunae surrounded by cartilaginous matrix. Isogenous nests could be discerned. There were also islands of apparent mesenchymal stem cells. Beneath the cartilage, a tidemark was seen in places. Trabecular, cortical bone underlay the cartilage, complete with hematopoietic tissue. There was good integration of the tissue in the defect with the surrounding tissue.

DISCUSSION:

The data shows the successful regeneration of articular cartilage defects using mesenchymal stem cells harvested from rabbit muscle. The MSCs were obtained from rabbit muscle. When cultured in media, the MSCs maintain an undifferentiated phenotype but, when treated with dexamethasone, they differentiate into a number of mesodermal phenotypes. This behavior in vitro is identical to MSCs isolated from rat and chick.

There is little difference between control and experimental defects at 6 weeks post-op. However, by 12 weeks post-op, there are dramatic differences between the two treatments. The PGA-MSC matrix at has similar histology to normal cartilage. A layer of cartilage and subchondral bone are evident and it is difficult to ascertain the edge of the experimental implant even at 200 x magnification. The experimental defects had a good, but not perfect, articular surface, with occasional defects at higher magnifications. The surface is the same thickness as

the surrounding cartilage. The subchondral bone, however, is indistinguishable from that seen in normal articular cartilage, and it is impossible to determine the base of the defect.

5 In contrast, the control defects at 12 weeks post-op are filled with apparent fibrocartilage. This is particularly evident in the area adjacent to the surface. Deeper, the defect contains chondrocytes in large lacunae. There is no evidence of subchondral bone, and the interface between defect and surrounding tissue is obvious.

10 It appears that the MSCs differentiate into chondrocytes and osteoblasts within the defect. It appears the MSCs differentiate in such a manner as to re-create the spatial orientation of the tissue, 15 cartilage at the surface and bone underneath. The signals mediating this differentiation are unknown. Presumably, the cells respond to endogenous signals emanating from the surrounding cartilage and bone, 20 although other sources such as synovial fluid and blood cannot be eliminated. There may also be 25 mechanical signals, although the defect site in the femoropatellar groove is not weight-bearing.

The present study indicates that MSCs are useful 25 in the regeneration of full-thickness articular cartilage defects.

30 Modifications and variations of the present invention will be obvious to those skilled in the art from the foregoing description. Such modifications are intended to come within the scope of appended 35 claims.

We claim:

1. A method for growing new cartilage and or bone in a patient comprising administering to a site where cartilage and or bone is needed mesenchymal stem cells in a polymeric carrier suitable for proliferation and differentiation of the cells into cartilage and or bone.
2. The method of claim 1 wherein the mesenchymal stem cells are isolated from muscle or dermis.
3. The method of claim 1 wherein the polymeric carrier is biodegradable.
4. The method of claim 1 wherein the polymeric carrier is formed of polymer fibers as a mesh or sponge.
5. The method of claim 4 wherein the polymeric carrier is a polyglycolic acid fibrous mesh.
6. The method of claim 4 wherein the polymer is selected from the group consisting of proteins, polysaccharides, polyhydroxy acids, polyorthoesters, polyanhydrides, polymethacrylates, polyacrylates, polyphosphazenes, ethylene vinyl acetate, and polyvinyl alcohols.
7. The method of claim 1 wherein the polymeric carrier is a hydrogel formed by crosslinking of a polymer suspension having the cells dispersed therein.
8. The method of claim 7 wherein the polymeric carrier is selected from the group consisting of polysaccharides and synthetic polymers.
9. The method of claim 1 wherein the defect is in both cartilage and bone.
10. The method of claim 1 wherein the mesenchymal stem cells in the polymeric carrier are implanted in an osseous site.

11. The method of claim 1 for growing new dense fibrous connective tissue comprising implanting the mesenchymal cells in a polymeric carrier at a site in need thereof associated with reconstruction of the spine, pubic symphysis or temporomandibular joint.

12. A composition for growing new cartilage and or bone in a patient comprising

mesenchymal stem cells in a polymeric carrier suitable for proliferation and differentiation of the cells into cartilage and or bone.

13. The composition of claim 12 wherein the mesenchymal stem cells are isolated from muscle or dermis.

14. The composition of claim 12 wherein the polymeric carrier is biodegradable.

15. The composition of claim 12 wherein the polymeric carrier is formed of polymer fibers as a mesh or sponge.

16. The composition of claim 15 wherein the polymer is selected from the group consisting of proteins, polysaccharides, polyhydroxy acids, polyorthoesters, poly anhydrides, polymethacrylates, polyacrylates, polyphosphazenes, ethylene vinyl acetate, and polyvinyl alcohols.

17. The composition of claim 16 wherein the polymeric carrier is a polyglycolic acid fibrous mesh.

18. The composition of claim 12 wherein the polymeric carrier is a hydrogel formed by crosslinking of a polymer suspension having the cells dispersed therein.

19. The composition of claim 18 wherein the polymeric carrier is selected from the group consisting of polysaccharides and synthetic polymers.

20. A method for growing new mixed connective tissue comprising implanting mesenchymal cells in a polymeric carrier in a fascial plane formed of muscle cells, fat, fibroblasts, and cartilage.

21. A composition for growing new mixed connective tissue comprising mesenchymal cells in a polymeric carrier suitable for implantation in a fascial plane formed of muscle cells, fat, fibroblasts, and cartilage.

## INTERNATIONAL SEARCH REPORT

national application No.

PCT/US 96/03438

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: C12N 5/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPIL, MEDLINE, CLAIMS, BIOSIS, EMBASE, JAPIO, NEW ENGLAND JOURNAL OF MEDICINE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P	Dialog Information Services, File 73, EMBASE, Dialog accession no. 9837140, EMBASE accession no. 96020566, Stanton J.S. et al: "The growth of chondrocytes using Gelfoam (R) as a biodegradable scaffold", Materials in Medicine (United Kingdom), 1995, 6/12 (739-744)  --	12-19,21
X	Journal of Biomedical Materials Research, Volume 28, 1994, L.E. Freed et al, "Joint resurfacing using allograft chondrocytes and synthetic bio-degradable polymer scaffolds", page 891 - page 899, see abstract and discussion  --	12-19,21

 Further documents are listed in the continuation of Box C. See patent family annex.

- \* Special categories of cited documents
- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another document or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed
- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- \*&\* document member of the same patent family

Date of the actual completion of the international search

1 July 1996

Date of mailing of the international search report

06.08.96

Name and mailing address of the International Searching Authority



European Patent Office, P B 5818 Patenuaan 2  
NL-1280 HV Rijswijk  
Tel. +31-701 340-2040 Tx 31 651 epo nl.  
Fax +31-701 340-3016

Authorized officer

Ake Lindberg

## INTERNATIONAL SEARCH REPORT

National application No.  
PCT/US 96/03438

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	The Journal of Urology, Volume 150, 1993, Anthony Atala et al, "Injectable alginate seeded with chondrocytes as a potential treatment for vesicoureteral reflux", page 745 - page 747, see abstract and discussion --	12-19,21
X	Proceedings for the 49th Annual Session of Forum on Fundamental Surgical Problems 1993 Clinical Congress, Donald W. Pate et al: "Isolation and differentiation of mesenchymal stem cells from rabbit muscle", page 587 - page 589, see results and discussion --	12-19,21
X	Dialog Information Services, File 155, Medline, Dialog accession no. 09148387, Medline accession no. 95078387, Puelacher WC et al: "Design of nasoseptal cartilage replacements synthesized from biodegradable polymers and chondrocytes", Biomaterials (ENGLAND), Aug 1994, 15(10), p774-8 --	12-19,21
X	Dialog Information Services, File 155, Medline, Dialog accession no. 05888667, Medline accession no. 86189667, Schmidt J et al: "Morphology and in vivo growth characteristics of an atypical murine proliferative osseous lesion induced in vitro", Cancer Res (UNITED STATES), Jun 1986, 46 (6), p3090-8 --	12-19,21
X	Dialog Information Services, File 5, Biosis, Dialog accession no. 10967687, Biosis accession no. 97167687, Freed L E et al: "Kinetics of chondrocyte growth in cell-polymer implants", Biotechnology and Bioengineering 43(7), 1994, 597-604 --	12-19,21
X	US, A, 5041138 (J.P VACANTI ET AL), 20 August 1991 (20.08.91), column 1; column 5, line 58 - line 68; column 6, line 1 - line 21, claims --	12-19,21

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 96/03438

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Dialog Information Services, File 155, Medline, Dialog accession no. 09251553, Medline accession no. 95181553, Bruder SP et al: "Mesenchymal stem cells in bone development, bone repair, and skeletal regeneration therapy", J Cell Biochem (UNITED STATES (1994), 56(3), p283-94  --	12-19,21
X	US, A, 4846835 (D.A. GRANDE), 11 July 1989 (11.07.89)  --	12-16,21
X	US, A, 4642120 (Z. NEVO ET AL), 10 February 1987 (10.02.87), see abstract  --	12-16,21
X	Dialog Information Services, File 155, Medline, Dialog accession no. 06436469, Medline accession no. 88081469, Maor G: "Acceleration of *cartilage* and *bone* differentiation on *collagenous* sub- strata", Coll Relat Res (GERMANY), WEST, Oct 1987, 7 (5), p351-70  --	12-16,21
X	J. Cell Sci. Supp. 10, 60-76 (1988), Maureen Owen: "Marrow stromal stem cells", see page 66  --	12-15,21
X	Dialog Information Services, File 155, Medline, Dialog accession no. 08676042, Medline accession no. 93386042, Robinson D: "Implants composed of carbon fiber mesh and bone-marrow-derived, chondrocyte-enriched cultures for joint surface reconstruction", Bull Hosp (UNITED STATES), Spring 1993, 53 (1), p75-82  --	12-15,21
A	US, A, 5197985 (A.L. CAPLAN ET AL), 30 March 1993 (30.03.93), see whole document  --	12-19,21

## INTERNATIONAL SEARCH REPORT

National application No.

PCT/US 96/03438

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	Journal of Medicine, Volume 331, October 1994, Mats Brittberg et al, "Treatment of deep cartilage defects in the knee with autologous chondrocyte transplantation", abstract  --	12-19,21
A	WO, A1, 9222584 (CAPLAN, ARNOLD), 23 December 1992 (23.12.92), page 7, line 29 - line 34; page 15, line 30 - line 33  -- -----	12-19,21

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 96/03438

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: 1-11 and 20 because they relate to subject matter not required to be searched by this Authority, namely:  
Although these claims are directed to a method of treatment of the human/animal body (by surgery), the search has been carried out based on the alleged effects of the composition.
2.  Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

  

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees

## INTERNATIONAL SEARCH REPORT

Information on patent family members

129150

International application No.

01/04/96

PCT/US 96/03438

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
US-A- 5041138	20/08/91	AU-B- 635025		11/03/93
		AU-A- 5556890		16/11/90
		CA-A- 2051663		18/10/90
		EP-A, A- 0469070		05/02/92
		JP-T- 4505717		08/10/92
		JP-B- 6006155		26/01/94
		WO-A, A- 9012603		01/11/90
		EP-A, A- 0299010		18/01/89
		JP-T- 1501362		18/05/89
		JP-B- 7102130		08/11/95
		WO-A, A- 8803785		02/06/88
US-A- 4846835	11/07/89	NONE		
US-A- 4642120	10/02/87	DE-A, A- 3410631		27/09/84
		GB-A, B- 2137209		03/10/84
		JP-C- 1839264		25/04/94
		JP-A- 59192364		31/10/84
US-A- 5197985	30/03/93	NONE		
WO-A1- 9222584	23/12/92	AU-A- 2252492		12/01/93
		CA-A- 2111845		23/12/92
		EP-A, A- 0592521		20/04/94
		JP-T- 7500001		05/01/95
		US-A- 5486359		23/01/96